

## Client-Server Communication System

### Field of the Invention

This invention relates to a method of connecting a browser to a plurality of  
5 information servers, particularly but not exclusively to using a JavaScript™ layer as  
an interface between control and communications applets running within the  
browser.

### Background

10 In the general model of a client-server system operating over a private or public  
network, such as the Internet, a client computer uses a web client, usually referred  
to as a browser, to connect to one of many available servers. In the case of, for  
example, real-time financial information, a plurality of service providers provide  
information over the Internet. For example, referring to Figure 1, the Real Time  
15 Text Protocol™ (RTTP) developed by Caplin Systems Limited is widely used within  
the financial services community for streaming real-time data from a remote RTTP  
enabled server 1 to a client's browser 2, such as Microsoft Internet Explorer™ or  
Netscape Navigator™, via the Internet 3. The browser 2 downloads a web page  
which comprises dynamic HTML (DHTML) source code 4 from a web server 5.  
20 This enables the browser 2 to connect to the RTTP server 1 which provides an  
applet 6, written in the Java™ programming language, to enable communication  
between the server 1 and the browser 2 using the RTTP protocol. The server 1  
receives feeds from multiple data sources, such as servers 7 - 9 providing real time  
data, e.g. news, share prices or other data, conforms them to the RTTP protocol  
25 and opens a single RTTP session to the applet 6 running in the browser 2. The  
applet 6 permits the browser 2 to receive and display real-time streaming data from  
the server 1.

One of the main tenets of the Java™ language is to enable browsers to run applets  
30 safely, that is, without damaging the client system on which they run. In general  
terms, since they originate from an external source, all downloaded applets are  
considered to be untrusted. To prevent an applet from performing operations

which could damage the client system on which it runs, an untrusted applet is prevented by the Java Applet Security Manager™, which is part of the Java Runtime Environment™ running on the client's system, from performing certain tasks on that system. This is known as the "sandbox" model. The functionality of the applet is restricted, for example, the applet cannot write to the client's local file system.

Similarly, an untrusted applet is only permitted to communicate with the server from which it originated. Applets downloaded from different servers are considered to be within separate sandboxes and are therefore not permitted to communicate with one another.

The security restrictions imposed by the sandbox model can be overcome by converting the applet to a trusted applet, where the applet is digitally signed by a source trusted by the user and must be explicitly accepted by the user. However, if signed applets were to be used in the context of a user using a browser to access information from multiple information servers, the user would need to download and accept a considerable number of applets to maintain system security. The resulting system would be both inconvenient and impractical as well as having the potential to compromise the security of the user's system.

### Summary of the Invention

According to the invention, there is provided a method of implementing a connection between a browser and one or more remote data servers comprising providing a control module for processing data retrieved from the remote servers, providing one or more communications modules each configured to communicate with one of the remote servers and prevented from direct communication with the control module and providing an interface module operative to permit communication between the control module and the communications modules.

The control module and communications modules can be Java™ applets while the interface module is a JavaScript™ layer.

The prohibition on applets loaded from different servers communicating with one another is overcome by permitting the applets to communicate via the JavaScript™ layer. Since each of the communications applets handles communications with its respective server, the control applet need not be concerned with that task, so that  
5 the overall system is more resource efficient than the conventional model, in which a single control/communications applet needs to handle control and communications with multiple servers by opening multiple sessions.

According to the invention there is further provided a browser configured to  
10 retrieve information from a plurality of remote servers including a control module originating from a first server and one or more communications modules originating from one or more of the remote servers, wherein each of the communications modules is configured to communicate with the remote server from which it was downloaded and is prevented from direct communication with the control module;  
15 and an interface module for facilitating communication between the control and communications modules.

According to the invention there is still further provided a method of communicating information between a browser and one or more servers, wherein  
20 communications between the browser and each of the one or more servers occur via respective communications applets running in the browser, the method comprising communicating the information between a control applet running in the browser and each of the communications applets, the control applet and the communications applets being configured to communicate via an interface layer.  
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### **Brief Description of the Drawings**

Embodiments of the present invention will now be described by way of example, with reference to the accompanying drawings, as follows:

30 Figure 1 shows a conventional communication system for providing real-time information to a browser from a plurality of information sources via an RTTP server;

Figure 2 shows a system according to the present invention in which a browser can connect to multiple data servers;

Figure 3 is a flowchart of the procedure for configuring the browser for communication with the data servers and handling incoming data; and

5 Figure 4 is a schematic example of the display presented to the user.

### Detailed Description

Referring to Figure 2, a communication system according to the present invention comprises a browser 2 communicating with multiple data servers 10, 11, 12, each of  
10 which operates in accordance with the Real Time Text Protocol (RTTP)<sup>TM</sup>, or any protocol that permits streaming of real-time data to a browser over a communications network.

The configuration of the browser will now be described, with reference to Figure 3  
15 and beginning at step s0. The browser 2 downloads a DHTML page 13, which includes a program layer 14 written in JavaScript<sup>TM</sup>, defined for example by a <SCRIPT> tag in the DHTML page 13, together with a control applet 15, from a web server 16 running on a remote computer 17 (step s1). The control applet 15 provides functionality for processing incoming data. The incoming data may be  
20 manipulated, e.g., combining data from one or more sources, performing calculations and statistical analyses. The data may be then stored and/ or displayed on the user's screen, an example of which is shown in Figure 4, with data from different sources being displayed in different frames. The DHTML layer 13 permits the browser 2 to connect to a plurality of RTTP servers 10 – 12 in accordance with  
25 the user's requirements. For example, a list of available information services, provided by the data servers 10 - 12, is displayed in the browser 2, (step s2), so that the user can select one or more information services for display (step s3). Each RTTP server 10 – 12 provides a Java<sup>TM</sup> applet 18 – 20, also referred to as a proxy or communications applet, which is capable of handling data communications between  
30 the browser 2 and the respective RTTP server 10 – 12. The communications applets 18 - 20 do not need to contain further functionality, as this can be provided in the control applet 15. Therefore, each communications applet 18 – 20 requires considerably less system resources, including memory, than the applet 6 of the

conventional system, as it contains only the functionality necessary to communicate with its respective server 10 - 12. The selected communications applets 18 - 20 are downloaded into the browser (step s4) and communication sessions are established between each of the communications applets 18 - 20 and their respective data  
5 servers 10-12 (step s5), completing the configuration of the browser (step s6).

To enable data requests to be communicated between the control applet 15 and an RTTP server 10 and incoming data to be passed to the control applet 15 for processing, a connection must be established between the control applet 15 and a  
10 respective one of the communications applets 18. The Java™ security model provides a barrier to this connection, since the control and communications applets 15, 18 originated from different servers 16, 10.

To overcome this barrier, a connection between the applets is established via the  
15 JavaScript™ layer 14. The layer 14 acts as an interface between the control applet 15 and the communications applets 18 – 20. The browser permits communication between Java™ and JavaScript™ using the Java™ wrapper class `netscape.javascript.JSObject`.

20 For example, where data is to be passed from the control applet 15 to a communications applet 18, the control applet 15 invokes the `'getWindow()'` method of `JSObject`, which returns a JavaScript™ object representing the window that contains the target applet 18. The control applet 15 then calls the `JSObject 'eval'` method on this window object, which permits evaluation of an arbitrary  
25 JavaScript™ expression. In this case, the JavaScript™ expression in the JavaScript™ layer 14 is a function which includes as parameters the name of the target applet 18 and the data to be communicated to the target applet 18.

It is well-known that conventional browsers hold HTML document definitions in  
30 the form of a standardised interface known as the Document Object Model, so that all the elements on the page can be accessed via that interface. The JavaScript™ function uses the applet name to look up the target applet 18 within the Document

Object Model maintained by the browser 2 and then calls a predetermined method within the applet 18, passing to it the data to be communicated. This procedure is not necessary where the target applet was originally created by the JavaScript™ layer 14, as the JavaScript™ layer 14 can simply maintain a reference to it, instead of  
5 using the Document Object Model to look it up.

Effectively, therefore, the JavaScript™ layer 14 permits the control applet 15 to invoke a method within each of the communications applets 18 – 20. The reverse process similarly permits the communications applets 18 – 20 to communicate data  
10 to the control applet 15 via the JavaScript™ layer 14. As each communications applet 18 - 20 contains only the code necessary to communicate with its respective RTTP server 10 - 12, it is possible to load further communications applets for communicating with further RTTP servers 21 as required, without requiring any reconfiguration of the existing communications applets 18 - 20.

15 Although the described embodiment comprises data servers configured for RTTP communications, it will be understood that the invention is not limited to systems using this particular protocol, but can be used with any protocol which provides for client-server data communications. While the information servers have been shown  
20 as separate computers, it should be understood that the respective servers may be provided in the form of separate processes running on the same physical machine. Furthermore, while the described embodiment uses Java™ applets, other types of software module which have similar limitations, such as ActiveX™ or VBA™  
25 objects, may be used without departing from the scope of the invention as defined by the claims.